

Algorithm A (*AVL Tree Insertion*). Given a set of nodes which form an AVL tree T , and a key to insert K , this algorithm will insert the node into the tree while maintaining the tree's balance properties. Each node is assumed to contain KEY, BAL, LLINK, RLINK, and PARENT fields. For any given node N , KEY(N) gives the key field of N , BAL(N) gives the balance field of N , LLINK(N) and RLINK(N) are pointers to N 's left and right subtrees, respectively, and PARENT(N) is a pointer to the node of which N is a subtree. Any or all of these three link fields may be Λ , which for LLINK(N) and RLINK(N) indicates that N has no left or right subtree, respectively, and for PARENT(N) indicates that N is the root of the tree. The BAL field must be able to represent an integer on the range $[-2, +2]$. The tree has a field ROOT which is a pointer to the root node of the tree.

You can find an implementation of this algorithm, as well as many others, in **libdict**, which is available on the web at <http://www.crazycoder.org/libdict.html>.

- A1.** [Initialize.] Set $N \leftarrow \text{ROOT}(T)$, $P \leftarrow Q \leftarrow \Lambda$.
- A2.** [Find insertion point.] If $N = \Lambda$, go to step A3. If $K = \text{KEY}(N)$, the key is already in the tree and the algorithm terminates with an error. Set $P \leftarrow N$; if $\text{BAL}(P) \neq 0$, set $Q \leftarrow P$. If $K < \text{KEY}(N)$, then set $N \leftarrow \text{LLINK}(N)$, otherwise set $N \leftarrow \text{RLINK}(N)$. Repeat this step.
- A3.** [Insert.] Set $N \leftarrow \text{AVAIL}$. If $N = \Lambda$, the algorithm terminates with an out of memory error. Set $\text{KEY}(N) \leftarrow K$, $\text{LLINK}(N) \leftarrow \text{RLINK}(N) \leftarrow \Lambda$, $\text{PARENT}(N) \leftarrow P$, and $\text{BAL}(N) \leftarrow 0$. If $P = \Lambda$, set $\text{ROOT}(T) \leftarrow N$, and go to step A8. If $K < \text{KEY}(P)$, set $\text{LLINK}(P) \leftarrow N$; otherwise, set $\text{RLINK}(P) \leftarrow N$.
- A4.** [Adjust balance factors.] If $P = Q$, go to step A5. If $\text{LLINK}(P) = N$, set $\text{BAL}(P) \leftarrow -1$; otherwise, set $\text{BAL}(P) \leftarrow +1$. Then set $N \leftarrow P$, and $P \leftarrow \text{PARENT}(P)$, and repeat this step.
- A5.** [Check for imbalance.] If $Q = \Lambda$, go to step A8. Otherwise:
 - i. If $\text{LLINK}(Q) = N$, set $\text{BAL}(Q) \leftarrow \text{BAL}(Q) - 1$. If $\text{BAL}(Q) = -2$, go to step A6, otherwise, go to step A8.
 - ii. If $\text{RLINK}(Q) = N$, set $\text{BAL}(Q) \leftarrow \text{BAL}(Q) + 1$. If $\text{BAL}(Q) = +2$, go to step A7, otherwise, go to step A8.
- A6.** [Left imbalance.] If $\text{BAL}(\text{LLINK}(Q)) > 0$, rotate $\text{LLINK}(Q)$ left. Rotate Q right. Go to step A8.
- A7.** [Right imbalance.] If $\text{BAL}(\text{RLINK}(Q)) < 0$, rotate $\text{RLINK}(Q)$ right. Rotate Q left. Go to step A8.
- A8.** [All done.] The algorithm terminates successfully.

Rotations in AVL Trees

Algorithm R (*Right Rotation*). Given a tree T and a node in the tree N , this routine will rotate N right.

- R1.** [Do the rotation.] Set $L \leftarrow \text{LLINK}(N)$ and $\text{LLINK}(N) \leftarrow \text{RLINK}(L)$. If $\text{RLINK}(L) \neq \Lambda$, then set $\text{PARENT}(\text{RLINK}(L)) \leftarrow N$. Set $P \leftarrow \text{PARENT}(N)$, $\text{PARENT}(L) \leftarrow P$. If $P = \Lambda$, then set $\text{ROOT}(T) \leftarrow L$; if $P \neq \Lambda$ and $\text{LLINK}(P) = N$, set $\text{LLINK}(P) \leftarrow L$, otherwise set $\text{RLINK}(P) \leftarrow L$. Finally, set $\text{RLINK}(L) \leftarrow N$, and $\text{PARENT}(N) \leftarrow L$.
- R2.** [Recompute balance factors.] Set $\text{BAL}(N) \leftarrow \text{BAL}(N) + (1 - \text{MIN}(\text{BAL}(L), 0))$, $\text{BAL}(L) \leftarrow \text{BAL}(L) + (1 + \text{MAX}(\text{BAL}(N), 0))$.

The code for a left rotation is symmetric. At the risk of being repetitive, it appears below.

Algorithm L (*Left Rotation*). Given a tree T and a node in the tree N , this routine will rotate N left.

- L1.** [Do the rotation.] Set $R \leftarrow \text{RLINK}(N)$ and $\text{RLINK}(N) \leftarrow \text{LLINK}(R)$. If $\text{LLINK}(R) \neq \Lambda$, then set $\text{PARENT}(\text{LLINK}(R)) \leftarrow N$. Set $P \leftarrow \text{PARENT}(N)$, $\text{PARENT}(R) \leftarrow P$. If $P = \Lambda$, then set $\text{ROOT}(T) \leftarrow R$; if $P \neq \Lambda$ and $\text{LLINK}(P) = N$, set $\text{LLINK}(P) \leftarrow R$, otherwise set $\text{RLINK}(P) \leftarrow R$. Finally, set $\text{LLINK}(R) \leftarrow N$, and $\text{PARENT}(N) \leftarrow R$.
- L2.** [Recompute balance factors.] Set $\text{BAL}(N) \leftarrow \text{BAL}(N) - (1 + \text{MAX}(\text{BAL}(R), 0))$, $\text{BAL}(R) \leftarrow \text{BAL}(R) - (1 - \text{MIN}(\text{BAL}(N), 0))$.